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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> | | Attorney Docket No. SONYJP 3.0-194 First Inventor Toshisada Ishii Title DIGITAL BROADCASTING RECEIVING, etc. Express Mail Label No. EL804525650US | |
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| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small> 3. <input checked="" type="checkbox"/> Specification [Total Pages 26] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the invention - Crosses Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] <small>(for continuation/divisional with Box 16 completed)</small> 5. Oath or Declaration [Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> c. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attesting deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | | |

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| ACCOMPANYING APPLICATIONS PARTS | |
| 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small> 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO-1449) <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small> 17. <input checked="" type="checkbox"/> Other: Declaration and Power of Attorney For Patent Application (Japanese Language Declaration). | 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group / Art Unit: _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |

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| 19. CORRESPONDENCE ADDRESS | |
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label <div style="text-align: center;"> 000530 </div> | or <input type="checkbox"/> Correspondence address below |
| Name _____ | |
| Address _____ | |
| City _____ | State _____ Zip Code _____ |
| Country _____ | Telephone _____ Fax _____ |

| | | | |
|-------------------|-----------------|-----------------------------------|---------------|
| Name (Print/Type) | Robert B. Cohen | Registration No. (Attorney/Agent) | 32,768 |
| Signature | | Date | July 30, 2001 |

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| Express Mail Label No. | EL804525650US |
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

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|----------------------|------------------|
| Application Number | Not Yet Assigned |
| Filing Date | July 30, 2001 |
| First Named Inventor | Toshisada Ishii |
| Examiner Name | Not Yet Assigned |
| Group Art Unit | N/A |
| Attorney Docket No. | SONYJP 3.0-194 |

TOTAL AMOUNT OF PAYMENT (\$) 790.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 12-1095

Deposit Account Name: Lerner, David, Littenberg, Krumholz & Mentlik, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Applicant's claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

| 1. BASIC FILING FEE | | | | | | | |
|---------------------|----------|--------------|----------|------------------------|----------|--|--|
| Large Entity | | Small Entity | | Fee Description | Fee Paid | | |
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710.00 | | |
| 106 | 320 | 206 | 160 | Design filing fee | | | |
| 107 | 490 | 207 | 245 | Plant filing fee | | | |
| 108 | 710 | 208 | 355 | Reissue filing fee | | | |
| 114 | 150 | 214 | 75 | Provisional filing fee | | | |
| SUBTOTAL (1) | | | | (\$) | 710.00 | | |

| 2. EXTRA CLAIM FEES | | Extra Claims | Fee from below | Fee Paid |
|---------------------|----|--------------|----------------|----------|
| Total Claims | 12 | -20** = | X | 0.00 |
| Independent Claims | 4 | -3** = | 1 X | 80.00 |
| Multiple Dependent | | | | |

| Large Entity | | Small Entity | | Fee Description | |
|--------------|----------|--------------|----------|--|-------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | (\$) | 80.00 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

| ADDITIONAL FEES | | | | | |
|-----------------------------------|----------|--------------------------|----------|--|----------|
| Large Entity Fee Code | Fee (\$) | Small Entity Fee Code | Fee (\$) | Fee Description | Fee Paid |
| 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 620* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | (\$) | |

*Reduced by Basic Filing Fee Paid

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|-------------------|-----------------|-----------------------------------|----------------|
| SUBMITTED BY | | Complete (if applicable) | |
| Name (print/type) | Robert B. Cohen | Registration No. (Attorney/Agent) | 32,768 |
| Signature | | Telephone | (908) 518-6316 |
| | | Date | July 30, 2001 |

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